

VITA (2017)

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I. BACKGROUND

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Present Positions: Distinguished Professor, SUNY.
University of Albany Prof. of Educational Administration & Policy Studies; joint appointment in Latin American & Caribbean Studies.
Director: PROPHE (Program for Research on Private Higher Education).
Established in 2000.

Past Positions: Research Associate, Yale University, 1977-82.
Research Affiliate, Yale University (annual reappointment 1982-88).

Courses Taught: Present: higher education politics; social science perspectives on education; higher education research seminar; comparative higher education policy; project completion courses at each graduate level.
Past: comparative higher education and public administration; politics of education; Latin American politics; Mexican politics; comparative politics; U.S. national politics. Numerous seminars.

Dissertations: 30 as chair (including four recent UA Presidential Distinguished Dissertation Awards); roughly 35 others as member (at UA & elsewhere).

Research Interests: Higher education and higher education policy; nonprofit sectors and private-public issues; comparative policy; Latin American and comparative politics; international assistance; Mexican politics.

Languages: Spanish: Fluency in all research skills. Portuguese: Functional.

Highest Educational Degree: Ph.D., University of North Carolina-Chapel Hill, Political Science (1977).

II. PUBLICATIONS

A. Books: Author

University and Government in Mexico: Autonomy in an Authoritarian System (Praeger 1980, 173 pp).
Revised and translated as Universidad y gobierno en Mexico: Autonomia en un sistema autoritario (Fondo de Cultura Economica 1987, 176 pp.).

Mexico: Paradoxes of Stability and Change (Westview Press 1983, 287 pp). Co-author with Gabriel Szekely.
Revised and translated as Estabilidad y cambio: Paradojas del sistema politico mexicano (El Colegio de Mexico 1985, 307 pp.). Further revision and second English edition, 1987, 297 pp.

Higher Education and the State in Latin America: Private Challenges to Public Dominance (University of Chicago Press, 1986, 434 pp). Book prize (see IIIC below).

Translated and updated with new introduction, as El estado y la educacion superior en America latina: Desafios privados al predominio publico (National University of Mexico/FLACSO/Porrúa, 1995, translator Juan Fidel Zorrilla, 666 pp.).

Translated into Chinese, Beijing Normal University Press, 2016, translator Bao Li, with new Introduction by Fengqiao Yan and New Foreword by the the author (“China Comes to the Book, the Book Comes to China”).

Building The Third Sector: Latin America's Private Research Centers and Nonprofit Development (University of Pittsburgh Press 1996, 348 pp.). Book prize (see IIIC below).

Higher Education in Latin America (Garland Publishing 1997, 322 pp). Co-author with Lewis Tyler, et al.

Myth, Reality and Reform: Higher Education Policy in Latin America (Inter-American Development Bank/Johns Hopkins University Press, 2000, 116 pp). Co-author with Claudio de Moura Castro.

Mexico's Struggle for Democratic Development (University of California Press, 2001, 386 pp). Co-author with Kathleen Bruhn.

Second edition, revised, 2005.

Private Higher Education: An International Bibliography. (Information Age: 2004, 267 pages). Co-author with Alma Maldonado, Yisa Cao, Philip Altbach, and Hong Zhu.

To Export Progress: The Golden Age of University Assistance in the Americas (Indiana University Press, 2006, 392 pages).

The Global Growth of Private Higher Education. Co-author with Kevin Kinser et al. ASHE, 2010).

A World of Private Higher Education: Understanding the Second Sector. Book in progress.

Public Policy for Private Higher Education: A Global Analysis. A separate book in progress.

B. Books & Special Journal Issues: Editor

Ed. Private Education: Studies in Choice and Public Policy (Oxford University Press 1986, 273 pp). Part of the book series Yale Studies in Nonprofit Organizations.

Ed. With Philip Altbach, Private Higher Education: A Global Revolution. Rotterdam: Sense, 2005. 300 pp. Translated into Chinese and published by the China Social Sciences Press, 2008.

Ed. With Snejana Slantcheva. Slantcheva, Snejana and Daniel Levy. eds. Private Higher Education in Post-Communist Europe: In Search of Legitimacy. (Palgrave/MacMillan, 2007, 327 pp).

Ed. With Mabizela, M and Wycliffe Otieno. Special issue of *Journal of Higher Education in Africa/Revue de l'Enseignement Supérieur en Afrique*. 5, # 2-3, 2007.

Ed. With Asha Gupta and Krishnapratap Powar. Private Higher Education: Global Trends and Indian Perspectives. New Delhi: Shipra, 2009. 306 pp.

Ed. With William Zumeta. *Public Policy and Private Higher Education*. A special issue of the *Journal of Comparative Policy Analysis*, 13, 4, 2011.

C. Articles

"Soviet Attitudes Towards the Military in Sub-Saharan Africa," Contemporary Politics I, no.1 (1971): 35-55.

"Social Science in Peru," (review essay). Latin American Research Review 21, no. 3 (1977): 143-47.

"Limits on Government's Financial Control of the University: A Case Study," a working paper published by the Institution for Social and Policy Studies, Yale University, 1977.

"Comparative Perspectives on Academic Governance in Mexico," a working paper published by the Institution for Social and Policy Studies, Yale University, 1978. Also published by the Corporacion Promocion Universitaria.(Chile, 1979). Revised and published as "El gobierno de las universidades en Mexico desde una perspectiva internacional," Foro Internacional (Mexico) 19, no. 4 (1979): 576-99.

"The Politics of Higher Education: Reconciling Autonomy and the Public Interest," Review of Higher Education 2, no. 2 (1979): 18-29.

"Pugna politica sobre quien paga la educacion superior en Mexico," Revista Latinoamericana de Estudios Educativos (Mexico) 9, no. 2 (1979): 1-38.

"University Autonomy in Mexico: Implications for Regime Authoritarianism," Latin American Research Review 14, no. 3 (1979): 129-52.

"Universities and Governments: The Comparative Politics of Higher Education," Comparative Politics 12, no. 1 (1979): 99-121. A slightly different version was published by the Corporacion Promocion Universitaria (Chile, 1979).

"Universidad privada y universidad publica: Siete casos de estudio," in Ivan Lavados, ed., Políticas y estructuras universitarias (Santiago, Chile, C.P.U., 1980): 115-52. A revision was published as "La distincion entre instituciones publicas y privadas en siete sistemas nacionales de educacion superior," in Manuel Barquin ed., Planeacion y regulacion en la educacion superior (Mexico City: UNAM, 1981): 59-80.

"Accountability and Autonomy: A Cross-National Analysis of Recent Trends," Vestes (Australia) 23, no. 2 (1980) 19-24.

"Student Politics in Contemporary Latin America," Canadian Journal of Political Science 14, no. 2 (1981) 353-376; a slightly different version was published as "Latin American Student Politics in the Seventies," in Philip Altbach, ed., Student Politics: Perspectives for the Eighties, (Scarecrow Press, 1981): 186-213.

"Comparing Authoritarian Regimes in Latin America: Insights from Higher Education Policy," Comparative Politics 14, no. 1 (1981): 31-52.

"The Rise of Private Universities in Latin America and the United States," in Margaret Archer, ed. The Sociology of Educational Expansion (London and Beverly Hills: Sage, 1982): 93-132.

"Private Versus Public Financing of Higher Education: U.S. Policy in Comparative Perspective," Higher Education 11, no. 6 (1982): 607-28. Revised from working paper #38 for the Program on Non-Profit Organizations, Institution for Social and Policy Studies, Yale University.

"O estado e o desenvolvimento das universidades: um panorama comparativo (1920-1940)," in Centro de Pesquisa e Documentação de História Contemporânea do Brasil, A revolução de 30 (Brasília: Editora Universidade de Brasília, 1982): 471-492.

"Educational Policy: Alternatives and Political Contexts," (review essay) Latin American Research Review 19, no. 3 (1984): 153-71.

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"Mexican Politics: Challenges and Responses," (with Gabriel Szekely) Current History (January, 1986): 16-20, 37.

"Distinction or Extinction? Toward Analyzing Private-Public Questions in Higher Education," in Levy, ed., Private Education: 170-92. Revised version of working paper #37 for the Higher Education Research Group, Institution for Social and Policy Studies, Yale University.

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"Policy Controversies in Higher Education Finance: Comparative Perspective on U.S. Private-Public Debates," in Samuel Gove and Thomas Stauffer, eds., Policy Controversies in Higher Education (New York: Greenwood Press, 1986): 237-59. Also, "Choosing the Private-Public Mix in Higher Education Finance," in Levy, ed., Private Education: 195-213. "Financiamiento privado versus financiamiento público de la educación superior: Las políticas norteamericanas desde una perspectiva comparativa," Opciones (Chile) no. 13 (1988): 35-60. All are revisions of the 1982 Higher Education article.

"Chilean Universities under the Junta: Regime and Policy," Latin American Research Review 21, no. 3 (1986): 95-128.

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"Evaluating Private Institutions: The Case of Latin American Higher Education," in Estelle James, ed., The Non-Profit Sector in International Perspective (Oxford University Press, 1989): 84-109. A revised version of the 1985 Comparative Education Review piece.

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"The New Pluralist Agenda in Latin American Higher Education: Honey, I Shrunk the State?" IGLU (Instituto de Gestion y Liderazgo Universitario, no.5, 1993): 127-32.

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"Quality in Latin American Universities: Old Wine and New Bottles," 1995. Working paper for the Inter-American Development Bank. Published as "La calidad: Vino viejo en botellas nuevas," in La educacion superior en America latina, ed. Samuel Morley and Salvador Malo (IDB-UDUAL, 1996: 133-178).

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"Private Research Centers: Changing the Face of Latin American Higher Education and Development, International Higher Education 5, (1996): 1-2.

"Latin America and the Change in Change: An Increased Role for Institutional Leadership," in Madeleine Green, ed. Transforming Higher Education: Views from Leaders around the World (American Council on Education, Oryx Press, 1997): 73-89. Revised and translated as "El liderazgo institucional y su papel en la reforma de la educacion superior," for Revista Mexicana de Investigacion Educativa 2, no.4 (1997): 205-221.

"Issues and Concepts," in Contemporary Higher Education, ed. Philip Altbach (Center for International Higher Education, Boston College, forthcoming). Reprinted from part of chapter 7 of The State and Higher Education. Also reprinted in Lewis Tyler et al., Higher Education in Latin America, (Garland Publishing 1997: 17-42).

"Fuera de la universidad: Una perspectiva comparativa de los centros privados de investigacion." in Sylvia Ortega and David E. Lorey, eds, Crisis y cambio de la educacion superior en Mexico (UAM, 1997): 119-34.

Higher Education in Latin America and the Caribbean: A Strategy Paper (Washington DC: IDB, 1997). The Inter-American Development Bank's paper for its higher education strategy. With Claudio de Moura Castro. Translated and published as *La educacion superior en America Latina y el Caribe*, IDB 1997, and *Educacao superior na America Latina e no Caribe*, IDB 1998.

"The Mexican University System." Encyclopedia of Mexico: History, Society and Culture, ed. Michael Werner, (Fitzroy Dearborn Press, 1998).

"El Colegio de Mexico," in Carol Summerfield and Mary Devine, ed., The International Dictionary of University Histories. (Fitzroy Dearborn,1998): 99-100.

"The National Autonomous University of Mexico," with Jose Maria Garcia Garduno, in Carol Summerfield and Mary Devine, ed., The International Dictionary of University Histories (Fitzroy Dearborn, 1998): 265-67.

"The University of Chile," with Andres Bernasconi," in Carol Summerfield and Mary Devine, ed., The International Dictionary of University Histories (Fitzroy Dearborn, 1998): 464-67.

"The University of Buenos Aires," with Marcela Mollis, in Carol Summerfield and Mary Devine, ed., The International Dictionary of University Histories (Fitzroy Dearborn, 1998): 432-35.

"A Return to Ioannina." *Sefarad* 7, no. 10: 1998.

"Fitting In?: Making Higher Education Part of the New Development Model," Mexican Studies/Estudios Mexicanos 14, no. 2: 1998: 407-440.

"Public Policy and Private Higher Education," in International Higher Education, no. 12, 1998: 7-9. Translated and published in Chinese in *International Higher Education* (Xiamen, China).

"Private Higher Education Development and Public Policy," Financing in Higher Education: Conference Proceedings, Open Society Institute, www.osi.hu/hesp/hesppublications.html, 1998: 13-15. Also, "Closing Remarks": 36-37.

"Internationalised Reform: Overlapping Agendas in East Asian and Latin American Higher Education," *Minerva* 36, 1998: 367-79.

"The Tuition Battle at Mexico's National University," (with Jorge Arenas), *International Higher Education* #16, 1999: 8-9.

"When Private Higher Education Does Not Bring Organizational Diversity: Argentina, China, Hungary," in Philip Altbach, ed., Private Prometheus: Private Higher Education and Development in the 21st Century (Greenwood Press: 1999): 17-50. Translation of the chapter for the book as *La mundialización de la educación superior privada*, Mexico City, CESU-UNAM, 2002: 25-65. And translation of the article in the Chinese journal entitled *International Higher Education* (Xiamen, China) #2 (2001): 1-9.

"Mexico: Sustained Civilian Rule and the Question of Democracy," in Larry Diamond, Jonathan Hartlyn, Juan Linz, and Seymour Martin Lipset, eds., Democracy in Developing Countries (Lynne Rienner Press, 1999: 519-73. Revision, with Kathleen Bruhn, of: "Mexico: Sustained Civilian Rule Without Democracy," in Diamond, Linz, Lipset, eds., Politics in Developing Countries: Comparing Experience with Democracy (Lynne Rienner Press, 1995: 170-216), revised from 1989 chapter.

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(Reprinting of six Levy articles from *International Higher Education*, in Ed. Philip Altbach and Daniel Levy. *Private Higher Education: A Global Revolution*)

(Reprinting of Kinser-Levy PROPHE working paper on for-profits and a Levy PROPHE working paper on private growth, both in the working paper series of the National Center on Privatization, Teacher's College.)

"An Introductory Global Overview: The Private Fit to Salient Higher Education Tendencies." PROPHE working paper #7, 2006. (Revision of a chapter in Forest and Altbach, eds.)

Private Higher Education: Patterns and Trends," *International Higher Education*, #50, Winter 2008.

"Intersectoral Interfaces in Higher Education Development: Private and Public in Sync?" in *Higher Education and Development*, eds. Justin Yifu Lin and Boris Peskovic. World Bank, 2008: 101-123. Translation into Vietnamese, 2011.

"The Relative Strength of Israel's Private Colleges," *International Higher Education*, 51, Spring 2008.

"Public Disorder, Private Boons? Inter-sectoral Dynamics Illustrated by the Kenyan Case." With Wycliffe Otieno. PROPHE working paper #9, 2008, and possibly an edited book or as separate journal submission elsewhere.

"Phil Foster at Albany," *Perspectives* (UNESCO) December 2008. Also published in *Comparative and International Education Society Newsletter*.

"Global Trends in Private Higher Education Research & East Asia," in RIIHE Research Series, Hiroshima, 3, 2008.

"Private Higher Education's Global Surge: Emulating U.S. Patterns?" *Proceedings from the Privatization in Higher Education Conference at the Samuel Nieman Institute for Advanced Studies in Science and Technology*, Technion University, Haifa, Jan 6-8, 2008.

"The Enlarged Expansion of Private Higher Education," *Die Hochschule*, 2, 2008: 19-35.

"For-profit versus Nonprofit Private Higher Education, *International Higher Education*." #54, 2009.

"Viewing Private Higher Education: How Much, Where, Why, and What," *International Encyclopedia of Education*, 3rd ed., ed Barry McGaw, Penelope Peterson and Eva Baker, Elsevier, 2010: 622-627.

"An International Exploration of Decline," in a special section on The Decline of the Private Sector, *International Higher Education*, #61, 2010.

"The *Decline* of Private Higher Education?" PROPHE working paper #16, 2011. Revised and submitted for publication in Higher Education Policy (below).

"Venezuelan Higher Education: The Chavez Revolution," *International Higher Education*, #62, 2011.

"Global Private Higher Education Patterns: What Can We Learn For China & East Asia?" Peking University's Selected Lectures, forthcoming.

"Semi-Elite Private Higher Education," PROPHE working paper in progress.

"How Private Education Fits Major International Higher Education Trends: With an Emphasis on Latin America," INTEC Press, in Spanish (Santo Domingo: forthcoming).

"Public Policy for Private Higher Education: A Global Analysis," for a special issue of the *Journal of Comparative Policy Analysis*, 13, 4, 2011.

"Introduction: Private Higher Education and Public Policy," co-author with William Zumeta, for a special issue of the *Journal of Comparative Policy Analysis*, 13, 4, 2011.

"The Multiple Types of Private Higher Education: A Global Analysis," in *Lo Privado y Lo Público en la Educación Superior*, ed. José Joaquín Brunner and Carlos Peña (Santiago: Universidad Diego Portales Press, in Spanish, 2012).

"How Important Is Private Higher Education in Europe: A Regional Analysis in Global Context," *European Journal of Education*, Vol. 47, No. 2, 2012. Revised into PROPHE working paper #21, June 2016. "The Relative Importance of Private Higher Education in Europe."

"What Does Organized Business Want? A Look at India". *International Higher Education* (spring) 67. 2012

"Squeezing the Nonprofit Sector". *International Higher Education* (spring) 71. 2013

"The Decline of Private Higher Education." *Higher Education Policy*. 26 (1): 25-42. 2013.

"Evaluacion como Solucion." Chapter in a forthcoming book by ACOFI, the association of Colombian engineering schools.

"Student Finance in Asia: *Privatization amid Decisive Inter-sectoral Difference*" (co-author with Prachayani Praphamontrirong) in *Student Financing in Higher Education*, ed. Donald Heller and Clair Callender, Routledge. 2013.

"The Evolution of Privatization in Central and Eastern European Higher Education," *European Education: Issues and Studies*, 46, 3: 7-30. 2014.

Levy, D. C. (2015). "Do Not Fall For It." *International Higher Education*, (80), 10.

Casta, A., & Levy, D. C. (2016). "Private Higher Education: Even France, Even For-Profit." *International Higher Education*, (85), 30-31.

Levy, D.C. (2017). Ed. Special Focus Session on Christian Higher Education.

Levy, D. C. (2017). Christian Higher Education's Place within Private Higher Education. *International Higher Education*, (88), 22-23.

"China Comes to the Book, the Book Comes to China," Essay Foreword to The Chinese Translation of Levy's *Higher Education and the State*. Beijing Normal University Press.

"Private Higher Education," in Shin, Jung Cheol, Teixeira, Pedro (Eds). *Encyclopedia of International Higher Education Systems and Institutions*. (Springer, forthcoming).

"The Private Sector: A Focus on Developing Countries," in Shin, Jung Cheol, Teixeira, Pedro (Eds). *Encyclopedia of International Higher Education Systems and Institutions*. (Springer, forthcoming).

"Private Sectors and Privateness," in Usher, Alex and Delisle, Jason, eds. *Striking the Right Balance: International Perspectives on Higher Education Policy* (in progress).

D. Sample Newspaper Articles and Blogs

London Times Higher Education Supplement: Government Efforts to Cope with Giantism: The National University of Mexico," January 1978; "Chile: Implementing Government Policies," February 1980; "Brazil's Giant Private Sector," March 1981; "Tragedy Following Argentine Repression," December 1982; "Mobilizing Against the Military," February 1983; "Where Profit Comes Before Education," July 1983; "Latin Tuition Fees," February 1984.

"Una goya por los estudiantes," La Jornada, October 1987, from the book Autonomia.

"Desafios privados al dominio de lo publico," Nexos, August 1990 from the book Higher.

"Informe de la Segunda Reunion del Comit e Internacional de Seguimiento," with five other international and Argentine members of the committee, Informe FOMEC 3, #4, 1998: 21-24.

"Modelo de educacao," Veja, with Claudio de Moura Castro and Kelli Parmley, 31, #33, 1998: 110-15.

"Informe de la Tercera Reunion del Comit e Internacional de Seguimiento," with five other international and Argentine members of the committee, Informe FOMEC 4, #6, 1998: 19-21. (And two other similar reports in the ensuing two years.)

"Private Institutions Play Larger Role in US Education," *China Daily*, July 11, 2002.

(2010, July 12) Compared to What? *Inside Higher Ed*. Retrieved from <http://www.insidehighered.com/blogs/world-view>

(2010, September 13) Hugo Chavez Transforms Venezuelan Higher Education. *Inside Higher Ed*. Retrieved from <http://www.insidehighered.com/blogs/world-view>

(2011, February 11) Picking Winners: The U.S. Plays a Different Game. *Inside Higher Ed*. Retrieved from <http://www.insidehighered.com/blogs/world-view>

(2012, August 12) Anticipating Unanticipated Consequences: Brazil's Radical Legislation. *Inside Higher Ed*. Retrieved from <http://www.insidehighered.com/blogs/world-view>

(2013, February 17) University Democracy in Democracies? *Inside Higher Ed*. Retrieved from <http://www.insidehighered.com/blogs/world-view>

(2013, July 9) Presidential Tenure. *Inside Higher Ed*. Retrieved from <http://www.insidehighered.com/blogs/world-view>

(2016). The U.S. Elections: Room for Higher Education Policy? [The World View Blog, Inside Higher Ed]. Retrieved April 26, 2017, from <https://www.insidehighered.com/blogs/world-view/us-elections-room-higher-education-policy>

E. Policy Reports since 1997

"Public Policy for Hungarian Private Higher Education." Consultancy report for the Hungarian government, as part of the World Bank project for Hungarian higher education. March 1997.

Report on East African Development and Training Consultants' "Strategy to Encourage Private Investment in Education and Training." For the International Finance Corporation and World Bank project on Ethiopia, December 1997.

Five separate reports to the World Bank for its human development office's project on private investment in primary, secondary, and higher education worldwide, 1998.

"Higher Education in Latin America: Myths, Realities, and Desirable Reform." The Inter-American Development Bank's research policy paper to guide its work in higher education; distilled in the 1997 IDB publication listed under IIB. With Claudio de Moura Castro. Washington, D.C.: 1998.

"Tracking the Graduates." An Inter-American Development Bank preliminary report based on a survey of how hundreds of institutions do and do not track their graduates. With Claudio de Moura Castro. 2000.

"An Independent View: The World Bank Policy Paper on Higher Education in Developing Countries." 2000. (Invited comments for the Human Development Conference.)

"Site Review Report on the Penn State Higher Education Program." 2000. Team Leader and Chief Author.

"Commentary on the World Bank Higher Education Sector Paper for Venezuela." 2001.

"Many entries into PROPHE's News Features, with summaries and "PROPHE Observations," 2002-. <http://www.albany.edu/dept/eaps/prophe/publication/NewsArticle.html>

"Size and Shape," Keynote Section I of UNESCO paper on A New Reality: Private Higher Education, ed. Svava Bjarnson, 2010. <http://www.unesco.org/tools/fileretrieve/1eb502a6.pdf>

“Private Higher Education in East Asia: Reality & Policy. World Bank Flagship Project. 2010. <http://siteresources.worldbank.org/INTEASTASIAPACIFIC/Resources/EastAsianriPrivateHigherEducation.pdf>. “Background paper” and “significant contribution” to the World Bank’s (Emanuela di Gropelo lead author) Putting Higher Education to Work, 2012.

F. Book Reviews for: (one or more)

American Journal of Education; American Journal of Sociology; American Political Science Review; Americas; Annals of the American Academy Political and Social Sciences; Asian Pacific Journal of Education; Comparative Education Review; European Education; Higher Education; Higher Education Policy, Hispanic American Historical Review; Inter-American Review of Bibliography; The Professional Geographer; Review of Education; Revista de Estudios Educativos; Studies in Comparative International Development; Third World Quarterly.

G. PROPHE Research and Publication:

Under Levy’s direction, the PROPHE website, beyond leading viewers to where they can find research, includes a range of research reports on PHE laws and data. It is on the verge of a leap forward in global, regional, and country data gathered, corrected, categorized, and extensively analyzed.

III. PROFESSIONAL RECOGNITION (see also IV B-E below)

A. Grants

Pre-doctorate: NYS Regents scholarship 1967-1971; Social Science Research Council scholarship, August 1974 in Chile; OAS scholarship, academic year 1975-1976, Mexico; others, declined.

Extensions of Andrew W. Mellon Foundation grant to Yale University for the study of Latin American higher education (originally obtained at directed by Burton R. Clark, but then left under Levy direction, and extended 1982-1984 and then again, 1984-1985).

Director of Ford Foundation grant for Task Force on Latin American Higher Education, 1992, and then larger Ford grant for same unit, 1993-1995.

For projects on U.S. Assistance to Latin American higher education and/or on Latin America’s research centers and nonprofit organizations: two small research awards from the University at Albany, three from Yale University, 1986, 1989, 1992 and one from the Center for the Study of Philanthropy, 1990; USIA-SUNYA award for research in Costa Rica, 1988; grants from Fulbright-Hays, Fulbright-CIES, and the SSRC for research in Argentina, Chile, Colombia, Mexico, and Peru, 1988-90; Spencer Foundation 1991; Aspen Institute 1994.

For research on contemporary Mexican politics, Senior Fulbright Research/Lectureship, 1995-1996.

For study on global growth of private higher education, and for fortifying University at Albany program in comparative higher education, \$230,000 grant from the Ford Foundation as part of an overall \$303,000 project (with University at Albany financial contributions) for 2000-2004.

“Building the Data Base in Private Higher Education,” \$40,000 from the Ford Foundation to provide two years of graduate assistantships along with support for a website related to data collection on private higher education. 2001-2003.

Co-initiator and then one of three core participants in \$250,000 grant from the Ford Foundation to CIEPP (Comparative & International Education Policy Program) for program building and research. For 2002-06.

Private and Comparative Higher Education. \$300,000 grant from the Ford Foundation, as part of a \$345,000 project (with University at Albany financial contributions) for 2004-2008.

University at Albany competitive grant resulting in a senior hiring line (in comparative education policy). One of three core participants in the grant proposal.

One of three core participants for tie-up \$250,000 grant from the Ford Foundation to CIEPP (Comparative & International Education Policy Program) for program building and research. For 2007-09.

B. Other Academic & Professional Honors and Related Service

1. Long-Term:

- Distinguished Professor, appointed by the SUNY Board of Trustees to the highest faculty rank in the SUNY system; 13th professor in history of University at Albany to gain this rank, 1999.
- Collins Fellow, the University at Albany's lifetime and highest recognition for service and collegueship, 2007.
- Solicitations (accepted) to give endorsements of new books.
- Lifetime Contribution achievement award, the Comparative and International Education Society, Higher Education SIG, 2014.
- Award for Significant Research on International Higher Education, for contributions over an extended period of time, including through PROPHE, from the Council for Comparative and International Education of the Association for the Study of Higher Education, 2014.

2. Time-Bound:

- Research Associate, El Colegio de Mexico, 1975-76.
- Association for the Study of Higher Education, Dissertation of the Year co-award, 1977-78.
- Member, Board of Trustees, Latin American Jewish Studies Association 1985-87.
- Co-second prize for the best 1986 SUNYA faculty book in humanities, Center for the Arts and Humanities (no parallel award given in the social sciences or education).
- Member, Editorial Board, Latin American Research Review, 1984-86. Reappointed 1986-88.
- Faculty Fellow, Rockefeller Institute of Government, 1985-90.
- Advisory Board, Study on NYS Nonprofit Organizations, 1988-89.
- Research Associate, El Colegio de Mexico, 1988-89.
- Affiliated Researcher, Academia de Humanismo Cristiano 1989.
- Advisory Board, National Public Television prospective series on Mexican society.
- Editorial Board, Comparative Education Review, 1989-93.
- Int'l. Board, Este Pais: Tendencias y Opiniones, 1990-93.
- Director, Task Force on Higher Education, Latin American Studies Association, 1991-95. Member Emeritus 1996-97.
- Int'l. Advisory Board, Pensamiento Universitario, 1993-2000.
- Editorial Advisory Board, Pergamon Press series: "Issues in Higher Education," 1992-.
- "Senior Mentor," Ford Foundation Latin American Higher Education Fellows Program. Harvard University, Spring 1995 semester.
- Visiting Professor, CIDE, Mexico, 1995-96.
- Editorial Board Nonprofit and Voluntary Sector Quarterly, 1995-2000.
- Advisory Board, UNESCO-CRESALC, Educacion Superior y Sociedad, 1996 -2006?
- Editorial Board, Journal of the Mexican Council of Educational Research, 1996-2006?
- Advisory board of the journal Higher Education Policy (Paris), 1996?-.

- President's Excellence in Research Award, University at Albany, 1996.
- "Homenaje a Felipe Herrera." Key speaker at the Inter-American Development Bank's homage to its first president.
- Advisory Board, Association for the Study of Higher Education reader on Comparative Education, 1997-98.
- Selected for Portland State University's "Conversations with Famous Authors" series, 1997-98.
- Book of the Year prize in Nonprofit and Voluntary Action Research, Association for Research on the Nonprofit Organizations and Voluntary Action, for Building the Third Sector, 1997.
- Advisory Board, Ford Foundation funded International Project on Changing Patterns of Higher Education Finance, 1998-2008 .
- External Advisory Board, International Higher Education and African Development, Yale Center for International & Area Studies and African Studies Center, 2000-2002.
- Advisory Board, Adam Mickiewicz University(Poland)Center for Higher Education Public Policy 2002-present.
- Advisory Board for the journal *Analytical Reports in International Education*, 2002-
- Advisory Council for "Transitional media ecologies and democratic change during the Internet era: A cross national examination" project at Erasmus University, the Netherlands
- Interim Director, Center for Jewish Studies, University at Albany, 2006- 2007.
- Advisory Board of the Programa Anillo in Social Sciences of Education and its journal Políticas en Educación, with Chilean and German universities.
- Selection Committee for best article of the year in the *Comparative Education Review*, 2013-14.
- International Advisory Board for EU project "Public-Private Relations in the Knowledge Society: The Labour Market."
- Teaching: in last few years, 3 advisees have won UA-SOE Alfred Burke award, 1 has won the SOE-Winter award, and 2 have won Dissertation of the year awards, one leading to a Rutledge book.
- Advisory Board, Inside Higher Education/Boston College, new on-line publication of the traditional Inside Higher Education.
- Advisory Board of the journal Higher Education Research Communications, 2010-present.
- Advisory Board of the journal Educational Policy Analysis Archives, 2009-present.

IV. PROFESSIONAL SERVICE AND ACTIVITIES (see also IIIB)

(excluding presentations, etc. at Yale and Albany during employment periods there)

A. Papers Presented or Panels Chaired and/or Organized at Over 145 Regular Conferences of the: (for both IVA and IVB, 2000-, since 2000 often bringing PhD students)

American Council on Education; American Educational Research Association; American Political Science Association; Association for Research on Nonprofit Organizations and Voluntary Action; Association for the Study of Higher Education; Comparative & International Education Society; Comparative and International Education Society; Conference of U.S. and Mexican Historians; International Association of Higher Education; International Sociology Convention; Latin American Jewish Studies Association; Latin American Studies Association; Mexican National Congress of Educational Research; Middle Atlantic Conference on Latin American Studies; New England Council on Latin American Studies; Pacific Sociological Convention.

B. Participation (including lecture, sometimes keynote) in Special Conferences at Institutions such as:

Anillo Program (Chile), Asian Development Bank (Philippines); Australian National University; Bellagio Study Conference Center (Italy); Ben-Gurion University (Israel); Bolivian Higher Education Reform Forum; Boston College, Brooklyn College, Colombian Association of Universities (2); CUNY; Brown University, CEDES (Argentina); Corporacion de Promocion Universitaria (CPU) joint with Yale University, co-organizer (Chile); Council on Higher Education Accreditation; CPU joint with UN (Chile, 2); CRESALC/UNESCO (Venezuela); Debra Zeit Management Center (Ethiopia); Elieff Center of the American University of Bulgaria; FLASCO (Chile); European Accreditation Council (Vienna); Ford Foundation (Boston; NYC); Foro de Educacion Superior (Chile); Getulio Vargas Foundation

(Brazil); Harvard University (4); Human Science Research Council (South Africa); Independent Sector and United Way (NYC); Inter-American Development Bank (several); International Finance Corporation (3); International Institute of Education (NYC); International Leadership Association; New York University; OECD (Australia); NIAD (Tokyo); Open Society Institute, Soros Foundation (Budapest, 2); Peking University (several); PROPHE (many); RIHE (Hiroshima); Tulane University; UAM (Mexico, 2); UCLA (Calif); UNAM (Mexico); UNESCO (Paris, 2); Universidad Los Andes; University at Albany-SUNY; University at Buffalo-SUNY International Conference; University at Buffalo-SUNY/Pan American Centennial (forthcoming); University of California-San Diego; University of New Mexico; University of Southern California; U.S. Department of Education; Woodrow Wilson International Center for Scholars (Washington); World Bank (Hungary, Colombia; Ethiopia, Malaysia; France; Thailand; several in Washington, D.C.); Xi'an International University (China); Yale University (3).

C. Lectures or Seminars Given at (not included in IV A or B):

Academia de Humanismo Cristiano (Chile); Anahuac University (Mexico); Anahuac-Sur (Mexico); Autonomous University of Colima (Mexico, 4); Autonomous Metropolitan University (Mexico 2); Autonomous University of Puebla; Autonomous University of Tamaulipas (Mexico, 3) ; Bildner Center for Western Hemisphere Studies (3); Cayetano Heredia University (Peru); Center for Economic Planning and Research (Greece); Center for Research and Cultural Development (Concepcion, Chile); Chile Public Policy in Education Forum; CIDE (Mexico); Cochabamba Center (Bolivia); El Colegio de Mexico (2); Colombian Association of Universities (5); Columbia University (2); CUNY Graduate Center (2); Euroasia University (China); European Accreditation Council (Vienna); FLASCO (Chile); Foreign Service Institute (2); Grinnell College (2); Haifa University (Israel); Hebrew University (Israel); Hangzhou colleges, China (3); Human Science Research Council (South Africa, 3); Iberoamericana University (2); IESA (2 Venezuela); ILADES (Chile); Indiana University; INTEC (Dominican Republic); Inter-American Development Bank; International Institute of Education; IUPUI (Indiana University Purdue University Indianapolis Center on Philanthropy); King Juan Carlos I Center, New York University; LASPAU-Harvard (3); Malaysian Association of University/Ministry of Education; Ministry of Education (Argentina); Ministry of Education (Mexico); National Association of Foreign Student Advisers (2); National University of La Plata (3); National University of Mexico (3); Office for Technological Education (Peruvian ministry); Pacifico University (Peru); Peking University (3); PIIIE (Chile); Pontifical Catholic University (Peru); Princeton University; PROPHE (2); RIIHE (Tokyo); Rollins College; Seton Hall University; Shanghai colleges, 3; Stanford University (2); Technion University (Neeman Institute, Israel); UCLA (2); UNESCO, Paris; UNESCO/CRESALC (Venezuela); University of the Andes (4); University of Buenos Aires; University of California, Berkeley (5); University of California-San Diego; University of California-Riverside; University of Central Florida; University of Chicago; University of Hong Kong; University of Lima (Peru, 2); University of La Rioja (Argentina); University of North Carolina; University of New Mexico; University of Palermo/UNESCO (Argentina); University of Salvador (Argentina, 3); University of Santiago (Chile); World Bank (7); Xiamen University (China); Xi'an International University; Yale University (5).

D. Consultant (donated or remunerated service) to:

AID; American Council of Learned Societies; American Council on Education; American Jewish Committee; Americas Fund for Independent Universities; ASCUN (Colombian Association of Universities); Asian Development Bank; Association of Governing Boards of Colleges & Universities; Association of Interamerican University Graduate Programs (Spain); Australian Department of Employment, Education and Training; Bolivian Ministry of Education; Carnegie Foundation; CERES/Uruguayan government; CIDE (Mexico); East-West Forum; Federation of Industry and Commerce (FICCI, India); FLASCO-Chile; FLASCO/Wilson Center; FOMECA/Argentine Ministry of Education (3); Ford Foundation (4); Ford Policy Forum; Fulbright Commission-University of Salvador (Argentina); Human Science Research Council (South Africa); ICFES (Colombia); Inter-American Development Bank (6); International Council on Educational Development (2); International Finance Corporation; Japanese Development Fund; LASA 1994 Program Committee; LASPAU (5); London Times Higher Education Supplement; Mellon Foundation; Mexican Ministry of Education; Mexican university-business project; National Endowment for the Humanities; National University of La Plata; NOOSR (2, Australia); Norwegian International Assistance Agency; Open Society Institute (Soros Foundation,

for Russia, Georgia, Bulgaria, Romania, Mongolia); PROPHE partner centers in Chile, China, Eastern Europe, Japan, and South Africa; RIIHE (Research Institute of Higher Education, Japan, 4); Social Science Research Council (2); UDAPSO (Bolivia); UNESCO (3); Universidad Anahuac-Sur; U.S. Department of State; U.S. National Defense Education Program; World Bank (different units, 8). Numerous investment company consultancies and numerous government consultancies.

E. PROPHE:

Many aspects of professional service and activity involving PROPHE (including mentoring, conference organization, financial assistance, networking, dissemination, policy advice, and so forth)

F. Other:

Special multi-day seminars for scholars and policymakers given in Argentina (5), Colombia(3), Dominican Republic, Mexico (9), and Venezuela (2).

Reviewer for disciplinary and interdisciplinary journals, frequently for several, sporadic for many; also for annual conferences, and for fellowship programs such as the Woodrow Wilson Center for International Scholars, the NSF, etc.

Reviewer of book manuscripts for many leading university and commercial publishers.

Guest expert (non-local): CT. Public Television, Iowa Public Radio, North Carolina Public Radio, National Public Radio's "All Things Considered;" Malaysian television; citations in periodicals such as the Chronicle of Higher Education, The Economist, San Diego Union, South China Morning Post, University Business, Wall Street Journal, and many Latin American visual and print media, such as La Prensa, the Buenos Aires Herald, and Fundacion Antorchas in Argentina.

Extended published interviewed such as "Der Privatsektor Wird Wachsen," Hochschulpolitik, 24, Nov. 20, 2009.

Guest expert international and local commercial radio and television.

Lecture in Great Decisions series, Albany Public Library; broadcast local public radio.

Numerous lectures to Albany Jewish institutions (on Latin American and on Greek Jewry) and to other religious and community associations (e.g., Board of Rabbis of Northeastern NY).

Taught a pilot course in University at Albany's first semester of electronic courses (to sites elsewhere in NYS), Fall 1996; repeated Fall 1997; Fall 1998 introduced new distance course.

Pilot teaching in first University at Albany joint course by satellite with University at Buffalo, Spring 1999. Subsequent distance teaching.

Numerous citations in periodicals (New York Times, Wall Street Journal, Chronicle of Higher Education, and many others, including in Latin America and beyond).

Extensive, ongoing mentoring to the PROPHE network of mostly junior scholars and doctoral students around the world, 2000-2008.

V. UNIVERSITY AT ALBANY SERVICE [omitted from PROPHE-posting]